

**Results of anti-corruption monitoring,  
conducted at the Export Credit Agency of Kazakhstan JSC for the Q3 of 2024**

**I. Introductory part**

1. **The name of the quasi-public sector entity whose activities were subject to anti-corruption monitoring:** Export Credit Agency of Kazakhstan JSC (hereinafter referred to as the Company).

2. **Anti-corruption monitoring** was conducted by the Head of the Compliance Service, Assel Seitzhanovna Zhakayeva, and the Chief Compliance Controller, Bakytzhan Maralbekovich Tumenbayev.

Work phone number is 8 (7172) 95-56-56, ext. 155.

3. **The period of anti-corruption monitoring:** from September 23 to September 30, 2024.

4. The **observed period** : from July 1 to September 30, 2024.

**II. Information and analytical part**

**Conducting inspections and audits**

No inspections or audits were carried out in relation to the Company by government agencies during the period under review.

**Appeals and publications on cases of corruption or abuse of office**

In the period under review, the Company did not receive written appeals, as well as appeals to the **senim@kazakhexport trust email address.kz** and the **Senim helpline 8(7172) 55 44 70**, containing information about abuse or corruption in the actions of officials and employees of the Company, as well as cases of fraudulent actions on their part.

Publications, articles, notes mentioning information about cases of fraud in the Company, corruption manifestations and official abuses among its officials and employees were not posted in periodical print, television and electronic media (mass media), as well as social networks.

The total number of mentions of the Company and its activities in social networks and the media for the Q3 of this year amounted to 612 mentions.

Of these, 391 mentions of the Company were of a positive nature;

211 were of a neutral nature;

There are no publications of a negative tone.

**Information from legal statistics bodies, international and non-governmental organizations**

As part of the ongoing monitoring, documents on the claim work carried out by employees of the Departments of Legal Support and Insurance and Guarantee Payments

during the third quarter of this year, official Internet resources of the Agency of the Republic of Kazakhstan for Financial Monitoring, the Agency of the Republic of Kazakhstan for Combating Corruption (Anti-Corruption Service), the Supreme Court of the Republic of Kazakhstan, the Committee of the General The Prosecutor's Office of the Republic of Kazakhstan on special accounting and legal statistics, data from the information and reference service "Komptra".

The anti-corruption monitoring did not reveal any cases of initiation of criminal cases and criminal prosecution of employees and officials of the Company for committing corruption offenses.

There were no facts of initiation of proceedings against the Company and its officials in cases of administrative corruption offenses.

There were no court proceedings on criminal and administrative corruption offenses involving the Company, its employees and officials.

On the Internet resources of international and non-governmental organizations, such as the United Nations, the Eurasian Development Bank, the World Bank, Transparency International (a non-governmental international organization for combating corruption and researching the level of corruption), FATF (an international organization - Group for the development of measures to combat money laundering), there was no mention of manifestations in the activities of the Society corruption or its implementation of operations to legalize proceeds from crime.

### **Compliance with anti-corruption restrictions and standards**

During the monitoring, there were no cases of non-compliance by officials from among the members of the Management Board and employees of the Company with anti-corruption restrictions and standards provided for by the norms of the Law of the Republic of Kazakhstan "On Anti-Corruption" and the Anti-Corruption Policy in the Company.

No facts of non-compliance with the established anti-corruption restrictions and standards have been established: no mention or information has been revealed about the presence of accounts and deposits in banks located outside the Republic of Kazakhstan by persons performing managerial functions in the Company and employees of the Company, lobbying of personal interests when considering draft transactions concluded by the Company with customers and suppliers of services (goods), joint the work of close relatives and relatives in Society, the use for personal purposes and the disclosure of confidential information.

There are no cases of hiring persons who have committed corruption crimes as employees of the Company, which is confirmed by the availability of relevant certificates issued by the territorial bodies of the Committee of the Prosecutor General's Office of the Republic of Kazakhstan on Special Accounting and Legal statistics in the electronic personal files of employees formed by the Department of Human Resources Management.

### **The existence of a conflict of interest and its settlement**

In the observed period, there was no occurrence of cases of conflict of interest in the current work of officials and employees of the Company.

### **Formation of an anti-corruption culture**

In the Q3 of this year, employees of the Company, through online training, on September 23, 2024, were trained on the topic "Forms and types of fraudulent actions. Implementation of measures to prevent and combat fraud and corruption in the Export Credit Agency of Kazakhstan JSC, within the framework of which the Company's employees were informed about the forms and methods of fraud, including those that can be committed against the Company, the problems of financial and insurance fraud were also considered, and recommendations were given to prevent cases fraud and ways to inform about cases of corruption offenses or fraud in the Company.

### **III. The final part**

#### **Conclusions:**

There are no confirmed cases of corruption offenses in the activities of employees and officials of the Company in the observed period.

Anti-corruption restrictions and standards in the current work of the Company's officials and employees have been observed.

**The head of the Compliance Service**

**A. Zhakayeva**

**Chief Compliance Officer**

**B. Tumenbayev**